

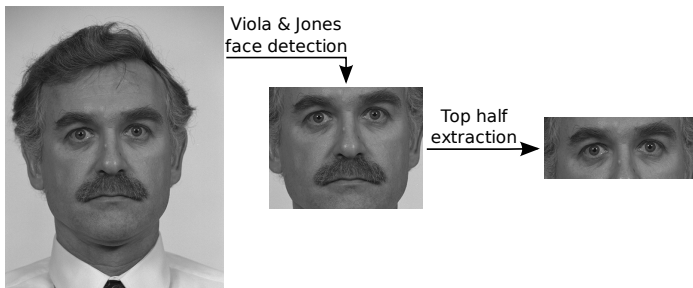
# Dealing with Inaccurate Face Detection for Automatic Gender Recognition with Partially Occluded Faces

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Study the gender recognition problem in situations where the face cannot be accurately detected and could be partially occluded

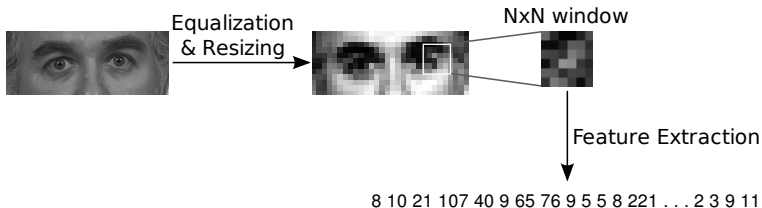


- Occlusions → only top half of the face is used
- Inaccurate face detections → proposed classification technique



- 1 Characterizing the face
  - Face Descriptions
- 2 Experimental Set-Up
  - Methodology
  - Description of the Experiments
- 3 Results
- 4 Conclusions

# Overview

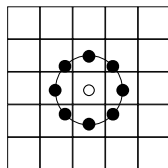


- 1 Preprocessing the image:
  - Top half extraction
  - Histogram equalization
  - Image size adjustment
- 2 Scanning the image using windows of  $N \times N$  size
- 3 Describing the pixels inside the image (3 different face descriptions are used)

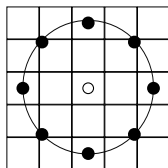


# Face Descriptions: LBP & LCH

- Local Binary Patterns (LBP)



$LBP_{8,1}^u$



$LBP_{8,2}^u$

- Comparing grey levels:

$$g_c > g_p \rightarrow 0$$

$$g_c \leq g_p \rightarrow 1$$

- Concatenate digits  $\rightarrow$  binary pattern

- Histogram of binary patterns

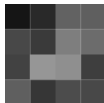
- Rotationally invariant (RI) and rotation dependent (no RI) versions

- Local Contrast Histograms (LCH)

Histogram of contrast values, same neighborhoods sizes and amount of bins as in LBPs.



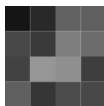
# Face Descriptions: Ranking Labels



Steps of this process



# Face Descriptions: Ranking Labels



$\xrightarrow{\text{Vectorizing}}$

12 33 92 89 65 50 121 102 59 146 139 55 89 46 69 65

## Steps of this process

- 1 A vector is created with the grey values of the pixels within the window



# Face Descriptions: Ranking Labels



$\xrightarrow{\text{Vectorizing}}$

12 33 92 89 65 50 121 102 59 146 139 55 89 46 69 65

$\downarrow \text{Sorting}$

12 33 46 50 55 59 65 65 69 89 89 92 102 121 139 146

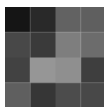
## Steps of this process

- 1 A vector is created with the grey values of the pixels within the window
- 2 Sorting the vector in ascending order





# Face Descriptions: Ranking Labels



$\xrightarrow{\text{Vectorizing}}$

12 33 92 89 65 50 121 102 59 146 139 55 89 46 69 65

$\downarrow$  *Sorting*

12 33 46 50 55 59 65 65 69 89 89 92 102 121 139 146

$\downarrow$  *Label assignment*

1 2 3 3 4 4 5 5 6 6 6 7 8 9 9

## Steps of this process

- 1 A vector is created with the grey values of the pixels within the window
- 2 Sorting the vector in ascending order
- 3 Assigning the corresponding Ranking Label to each component



# Face Descriptions: Ranking Labels



$\xrightarrow{\text{Vectorizing}}$

12 33 92 89 65 50 121 102 59 146 139 55 89 46 69 65

$\downarrow$  *Sorting*

12 33 46 50 55 59 65 65 69 89 89 92 102 121 139 146

$\downarrow$  *Label assignment*

1 2 3 3 4 4 5 5 5 6 6 6 6 7 8 9 9

$\downarrow$  *Unsorting*

1 2 6 6 5 3 8 7 4 9 9 4 6 3 5 5

## Steps of this process

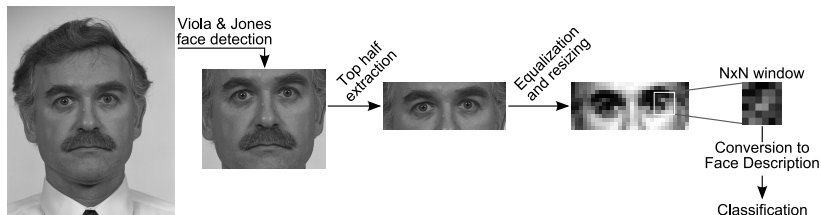
- 1 A vector is created with the grey values of the pixels within the window
- 2 Sorting the vector in ascending order
- 3 Assigning the corresponding Ranking Label to each component
- 4 "Unsorting" the vector to keep the original positions of each component



# Methodology

## Steps of the Gender Recognition Process

- 1 The face is detected using the Viola & Jones algorithm (no correction of the face inclination is done)
- 2 The top half of the resulting image from step 1 is extracted, equalized and resized to 45 pixels width
- 3 A set of windows of  $7 \times 7$  pixels are defined to obtain a collection of vectors that characterize the top half face
- 4 The face is classified as male or female





# Descriptions of the Experiments

## Experiment 1

- Non-overlapping windows
- Concatenation of extracted vectors
- Classification at image level

Tests how appropriate the face descriptions are for gender recognition

## Experiment 2

- Overlapping windows
- Concatenation of extracted vectors
- Classification at image level

Tests how useful redundant information is for distinguishing between genders



# Descriptions of the Experiments

## Experiment 3

- Overlapping windows
- Several vectors describe the face
- Classification at window level inside a neighborhood
- Voting system

Tests the performance of the proposed classification technique

## Experiment 4

- Result of the face detection artificially modified → simulate a non-accurate situation
- Same configuration as in experiment 3

Tests how reliable the face descriptions are in non-accurate situations



# Summary

## Several characterization methods

- Local Binary Patterns:  $LBP_{8,1}^u$  and  $LBP_{8,2}^u$
- Local Contrast Histograms:  $LCH_{8,1}^u$  and  $LCH_{8,2}^u$  (10 and 59 bins)
- Ranking Labels

## Two different classification techniques

- **Concatenating all the vectors**  $\Rightarrow$  1-NN  $\Rightarrow$  Predicted class label
- Several vectors  $\Rightarrow$  1-NN in a **given neighborhood**  $\Rightarrow$  Several class labels  
 $\Rightarrow$  **Majority voting**  $\Rightarrow$  Predicted class label

Two different metrics are used to measure distances:  $\chi^2$  and *Euclidean*



# Experiment 1

	$LBP_{8,1}^u$		$LBP_{8,2}^u$		$LBP_{8,\{1,2\}}^u$	
	RI	no RI	RI	no RI	RI	no RI
$\chi^2$	70.88	76.61	68.42	79.06	73.92	<b>80.47</b>
<i>Euc</i>	68.30	76.02	68.42	76.73	72.51	78.25

	$LCH_{8,1}^u$		$LCH_{8,2}^u$		$LCH_{8,\{1,2\}}^u$	
	10 bins	59 bins	10 bins	59 bins	10 bins	59 bins
$\chi^2$	75.44	69.36	77.89	71.81	<b>77.89</b>	72.98
<i>Euc</i>	73.57	70.64	74.27	72.05	75.44	73.80

	$LBP_{8,1}^u + LCH_{8,1}^u$		$LBP_{8,2}^u + LCH_{8,2}^u$		$LBP, LCH_{8,\{1,2\}}^u$	
	RI/ 10 bins	no RI/ 59 bins	RI/ 10 bins	no RI/ 59 bins	RI/ 10 bins	no RI/ 59 bins
$\chi^2$	75.79	79.53	80.47	79.88	<b>82.69</b>	81.64
<i>Euc</i>	77.19	77.43	77.43	77.66	80.70	79.88

Ranking Labels	
$\chi^2$	<b>78.95</b>
<i>Euc</i>	78.60

## Results

- LBP and LCH achieve good performances
- Combination of LBP and LCH with both radii reach the highest rates
- Ranking Labels recognition rates are comparable with the LBP and LCH ones
- The highest rates were obtained using the  $\chi^2$  metric



# Experiment 2

	$LBP_{8,1}^u$		$LBP_{8,2}^u$		$LBP_{8,\{1,2\}}^u$	
	RI	no RI	RI	no RI	RI	no RI
$\chi^2$	74.27	78.48	<b>81.17</b>	78.95	78.13	80.23
<i>Euc</i>	73.33	76.37	77.89	75.56	77.43	77.31

	$LCH_{8,1}^u$		$LCH_{8,2}^u$		$LCH_{8,\{1,2\}}^u$	
	10 bins	59 bins	10 bins	59 bins	10 bins	59 bins
$\chi^2$	79.65	74.97	<b>79.77</b>	75.79	79.30	76.26
<i>Euc</i>	78.95	72.87	76.96	74.50	77.54	76.73

	$LBP_{8,1}^u + LCH_{8,1}^u$		$LBP_{8,2}^u + LCH_{8,2}^u$		$LBP, LCH_{8,\{1,2\}}^u$	
	RI/ 10 bins	no RI/ 59 bins	RI/ 10 bins	no RI/ 59 bins	RI/ 10 bins	no RI/ 59 bins
$\chi^2$	80.23	81.17	82.46	81.40	<b>82.81</b>	80.82
<i>Euc</i>	79.65	77.89	81.17	77.08	81.40	77.19

Ranking Labels	
$\chi^2$	<b>80.12</b>
<i>Euc</i>	79.30

## Results

- All the face descriptions are suitable to discriminate between genders
- The more number of features are used, the best recognition rates are achieved
- Recognition rates were increased with respect to experiment 1





# Experiment 3

	$LBP_{8,1}^u$		$LBP_{8,2}^u$		$LBP_{8,\{1,2\}}^u$	
	RI	no RI	RI	no RI	RI	no RI
$\chi^2$	61.66	71.75	61.43	75.26	62.84	<b>78.55</b>
<i>Euc</i>	61.08	70.57	62.02	72.92	62.49	76.32

	$LCH_{8,1}^u$		$LCH_{8,2}^u$		$LCH_{8,\{1,2\}}^u$	
	10 bins	59 bins	10 bins	59 bins	10 bins	59 bins
$\chi^2$	61.08	62.95	61.08	63.42	65.06	64.48
<i>Euc</i>	61.08	64.36	61.08	63.42	<b>66.00</b>	63.07

	$LBP_{8,1}^u + LCH_{8,1}^u$		$LBP_{8,2}^u + LCH_{8,2}^u$		$LBP, LCH_{8,\{1,2\}}^u$	
	RI/ 10 bins	no RI/ 59 bins	RI/ 10 bins	no RI/ 59 bins	RI/ 10 bins	no RI/ 59 bins
$\chi^2$	66.47	79.95	69.05	82.65	74.44	<b>85.11</b>
<i>Euc</i>	67.87	75.15	69.40	77.61	71.28	78.55

Ranking Labels	
$\chi^2$	<b>88.54</b>
<i>Euc</i>	88.54

## Results

- Ranking Labels reach the best results → keep positional information
- Individual histogram features are less efficient than in previous experiments
- $LBP, LCH_{8,\{1,2\}}^u$  achieve similar results to the Ranking Labels ones → 4 times more features



# Experiment 4

	$LBP_{8,1}^u$		$LBP_{8,2}^u$		$LBP_{8,\{1,2\}}^u$	
	RI	no RI	RI	no RI	RI	no RI
$\chi^2$	61.08	61.08	61.08	61.08	62.49	<b>62.49</b>
<i>Euc</i>	61.08	61.08	62.14	62.14	62.14	62.14

	$LCH_{8,1}^u$		$LCH_{8,2}^u$		$LCH_{8,\{1,2\}}^u$	
	10 bins	59 bins	10 bins	59 bins	10 bins	59 bins
$\chi^2$	61.08	64.36	61.08	63.19	64.83	<b>65.30</b>
<i>Euc</i>	61.08	65.06	61.08	64.13	64.48	63.66

	$LBP_{8,1}^u + LCH_{8,1}^u$		$LBP_{8,2}^u + LCH_{8,2}^u$		$LBP, LCH_{8,\{1,2\}}^u$	
	RI/ 10 bins	no RI/ 59 bins	RI/ 10 bins	no RI/ 59 bins	RI/ 10 bins	no RI/ 59 bins
$\chi^2$	64.83	79.01	69.17	81.71	71.16	<b>83.59</b>
<i>Euc</i>	65.77	73.51	69.64	76.08	70.81	78.55

Ranking Labels	
$\chi^2$	89.12
<i>Euc</i>	<b>89.94</b>

## Results

- LBP and LCH by themselves cannot properly accomplish the recognition task → significantly influenced by the non-accurate face detection
- Ranking Labels recognition rates have not got worse while the others did
- Ranking Labels is the most reliable face description when the face detection process is inaccurate



# Conclusions

- Dealing with the automatic gender classification problem in situations where the face was partially occluded and inaccurately detected
- LBPs and LCHs performed correctly when the positional information is kept (face accurately detected) by the classification method
- In general, Ranking Labels + proposed classification technique is the most stable method → similar results when accurate face detection and same rates when no accuracy

Thank you!

